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PTO/SB/21 (modified)
Approved for use through xx/xx/xx, OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

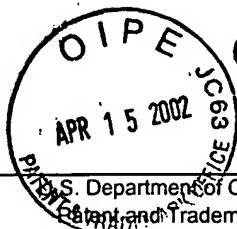
| | | | | |
|--|--|-----------------------|------------------------|-------------|
| 0001/PTO Rev. 10/95 TRANSMITTAL FORM (to be used for all correspondence during pendency of filed application) | U.S. Department of Commerce Patent and Trademark Office | Application Number | 10/029,765 | |
| | | Filing Date | October 22, 2001 | |
| | | First Named Inventor | William O'Donnell | |
| | | Group Art Unit Number | 2131 | |
| | | Examiner Name | Not yet known | |
| Total Number of Pages in This Submission | | 9 | Attorney Docket Number | 16319-05907 |

| ENCLOSURES (check all that apply) | |
|--|---|
| <input checked="" type="checkbox"/> Fee Transmittal Form (in duplicate) <input checked="" type="checkbox"/> Check Enclosed (\$3,034.00) | <input type="checkbox"/> Issue Fee Transmittal |
| <input checked="" type="checkbox"/> Return Receipt Postcard | <input type="checkbox"/> Letter to Chief Draftsperson |
| <input checked="" type="checkbox"/> Response to Notice to File Missing Parts | <input type="checkbox"/> Formal Drawing(s): [] Sheet(s) of Figure(s) [] |
| <input type="checkbox"/> Assignment & Recordation Cover Sheet | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences |
| <input checked="" type="checkbox"/> Declaration | <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |
| <input checked="" type="checkbox"/> Power of Attorney | <input type="checkbox"/> Certified Copy of Priority Document(s) |
| <input checked="" type="checkbox"/> Application Data Sheet | <input type="checkbox"/> After Allowance Communication to Group |
| <input type="checkbox"/> Information Disclosure Statement & PTO-1449 <input type="checkbox"/> Copies of IDS Cited References | <input checked="" type="checkbox"/> Copy of Notice to File Missing Parts |
| <input type="checkbox"/> Request for Corrected Filing Receipt | <input type="checkbox"/> _____ |
| <input type="checkbox"/> Request for Correction of Recorded Assignment | <input type="checkbox"/> _____ |
| <input type="checkbox"/> Amendment/Response: [] Page(s) <input type="checkbox"/> After Final | <input type="checkbox"/> _____ |
| <input type="checkbox"/> Status Request | <input type="checkbox"/> _____ |
| <input type="checkbox"/> Revocation and Substitute Power of Attorney | <input type="checkbox"/> _____ |
| REMARKS: | |

| SIGNATURE OF ATTORNEY OR AGENT | | | |
|--------------------------------|------------------------------------|--------|---------------|
| Signature: | | | |
| Attorney/Reg. No.: | Amir H. Raubvogel, Reg. No. 37,070 | Dated: | April 4, 2002 |

| CERTIFICATE OF MAILING | | | |
|--|-------------------|--------|---------------|
| I hereby certify that this correspondence, including the enclosures identified above, is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231 on the date shown below. If the Express Mail Mailing Number is filled in below, then this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service pursuant to 37 CFR 1.10. | | | |
| Signature: | | | |
| Typed or Printed Name: | Amir H. Raubvogel | Dated: | April 4, 2002 |
| Express Mail Mailing Number (optional): | | | |

16319/05907/DOCS/1259022.1

PTO/SB/17 (10-01)(modified)
OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

0002/PTO(modified)
Rev. 10/2001U.S. Department of Commerce
Patent and Trademark Office

FEE TRANSMITTAL

TOTAL AMOUNT OF PAYMENT

Subtotal (1) + Subtotal (2) + Subtotal (3) = (\$ 3,034.00)

Complete if Known

| | |
|------------------------|-------------------|
| Application Number | 10/029,765 |
| Filing Date | October 22, 2001 |
| First Named Inventor | William O'Donnell |
| Group Art Unit | 2131 |
| Examiner Name | Not yet known |
| Attorney Docket Number | 16319-05907 |

METHOD OF PAYMENT

1. The Commissioner is hereby authorized to:

- ☐ Charge the indicated fees to the below mentioned deposit account.
- ☒ Charge any additional fee required under 37 CFR 1.16 - 1.21 or credit any over payments to the below mentioned deposit account. †
- ☐ Applicant claims small entity status
See 37 CFR 1.27

Deposit Account Number: 19-2555

Deposit Account Name: FENWICK & WEST LLP

A Duplicate Copy of this authorization is attached

2. ☒ Payment Enclosed:☒ Check ☐ Credit Card ☐ Other

FEE CALCULATION (fees effective 10/01/2001)

1. FILING FEE

| Large Entity Fee Code/Fee | Small Entity Fee Code/Fee | Fee Description | Fee Due |
|---------------------------------|------------------------------|-----------------------|-------------|
| 101/\$740 | 201/\$370 | Utility Filing | 740 |
| 106/\$330 | 206/\$165 | Design Filing | |
| 108/\$740 | 208/\$370 | Reissue | |
| 114/\$160 | 214/\$80 | Provisional Filing | |
| SUBTOTAL (1) | | | (\$ 740.00) |

2. CLAIMS

| Large Entity Fee Code/Fee | Small Entity Fee Code/Fee | Fee Description |
|------------------------------|------------------------------|---|
| 103/\$18 | 203/\$9 | Claims in excess of 20 |
| 102/\$84 | 202/\$42 | Independent claims in excess of 3 |
| 104/\$280 | 204/\$140 | Multiple dependent claim |
| 109/\$84 | 209/\$42 | Reissue independent claims over original patent |
| 110/\$18 | 210/\$9 | Reissue claims in excess of 20 and over original patent |

FEE CALCULATION (continued)

3. ADDITIONAL FEES

| Large Entity Fee Code/Fee | Small Entity Fee Code/Fee | Fee Description | Fee Due |
|------------------------------|------------------------------|---|-------------|
| 105/\$130 | 205/\$65 | Surcharge - late filing fee or oath | 130 |
| 127/\$50 | 227/\$25 | Surcharge-late provisional filing fee or cover sheet | |
| 147/\$2,520 | 147/\$2,520 | For filing a request for reexamination | |
| 115/\$110 | 215/\$55 | Extension for response within first month† | |
| 116/\$400 | 216/\$200 | Extension for response within second month† | |
| 117/\$920 | 217/\$460 | Extension for response within third month† | |
| 118/\$1,440 | 218/\$720 | Extension for response within fourth month† | |
| 128/\$1,960 | 228/\$980 | Extension for response within fifth month† | |
| 119/\$320 | 219/\$160 | Notice of Appeal | |
| 141/\$1,280 | 241/\$640 | Petition to revive unintentionally abandoned application | |
| 142/\$1,280 | 242/\$640 | Utility Issue Fee (Or Reissue) | |
| 143/\$460 | 243/\$230 | Design Issue Fee | |
| 122/\$130 | 122/\$130 | Petitions to the Commissioner | |
| 126/\$180 | 126/\$180 | Submission of Information Disclosure Statement | |
| 179/\$740 | 279/\$370 | Request for Continued Examination (RCE) | |
| 581/\$40 | 581/\$40 | Recording each patent assignment per property (times number of properties) | |
| 146/\$740 | 246/\$370 | Filing a submission after final rejection (37 CFR 1.129(a)) | |
| 149/\$740 | 249/\$370 | For each additional invention to be examined (37 CFR 1.129(b)) | |
| Other fee (specify): | | | |
| Other fee (specify): | | | |
| SUBTOTAL (3) | | | (\$ 130.00) |

| (Col. 1) | | (Col. 2) | | (Col. 3) | | Fee | Fee Due |
|--|------------------------------|---------------------------------------|---------|----------|----|------|------------|
| For | No. of Existing Claims | Highest No. Previously Paid For | | Extra** | | | |
| TOTAL | 92 | minus* | 20 or 0 | = | 72 | x 18 | = 1296 |
| INDEP | 10 | minus* | 3 or 0 | = | 7 | x 84 | = 588 |
| [X] First presentation of multiple dependent claim | | | | | | | = 280 |

* Subtract the greater number of Col. 2

** If the difference between Col. 1 and Col. 2 is less than zero, then enter "0" in Col. 3

SUBTOTAL (2) (\$ 2164)

SUBMITTED BY

Typed or Printed Name Amir H. Raubvogel

Signature

Complete (if applicable)

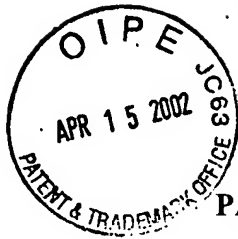
Reg. Number 37,070

Date

April 4, 2002

16319/05907/DOCS/1259030.1

† Request for Extension of Time per 37 CFR 1.136 (a)(3) made hereby



PATENT

IN THE UNITED STATES

PATENT AND TRADEMARK OFFICE

APPLICANTS: William O'Donnell and Daniel Wilks
APPLICATION NO.: 10/029,765
FILING DATE: October 22, 2001
TITLE: Identification and Authentication Management
EXAMINER: Not yet known
GROUP ART UNIT: 2131
ATTY. DKT. NO.: 16319-05907

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box Missing Parts, Commissioner For Patents, Washington, DC 20231, on the date shown below:

Dated: April 4, 2002

By: 

Amir H. Raubvogel, Reg. No. 37,070

BOX MISSING PARTS
COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

**RESPONSE TO NOTICE TO FILE MISSING
PARTS OF APPLICATION**

SIR:

Responsive to the Notice to File Missing Parts dated February 7, 2002, received in the above-identified patent application,

☒ Enclosed are:

- ☒ a copy of the Notice to File Missing Parts;
- ☒ an original, signed Declaration;
- ☒ an original, signed Power of Attorney;
- ☒ an Application Data Sheet;
- ☒ payment in the amount of \$3,034.00 for the

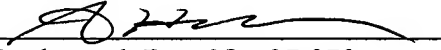
- ☒ application filing fee;
- ☒ fee for additional claims; and
- ☒ missing parts surcharge.

☐ Other

☐ Applicant claims small entity status under 37 C.F.R. § 1.27.

Respectfully submitted,
William O' Donnell and Daniel Wilks

Dated: April 4, 2002

By: 
Amir H. Raubvogel, Reg. No. 37,070
Fenwick & West LLP
Two Palo Alto Square
Palo Alto, CA 94306
Tel.: (650) 858-7276
Fax: (650) 494-1417



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 10/029,765 | 10/22/2001 | William O'Donnell | 16319-05907 |

CONFIRMATION NO. 4715

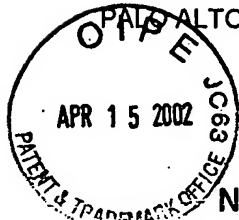
FORMALITIES LETTER



OC00000007441879

00758
FENWICK & WEST LLP
TWO PALO ALTO SQUARE
PALO ALTO, CA 94306

Date Mailed: 02/07/2002



NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$2164.
 - \$1296 for 72 total claims over 20.
 - \$588 for 7 independent claims over 3.
 - \$280 for multiple dependent claim surcharge.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 3034.

04/17/2002 BABRAHA1 00000070 10029765

| | |
|-----------|------------|
| 01 FC:101 | 740.00 OP |
| 02 FC:105 | 130.00 OP |
| 03 FC:103 | 1296.00 OP |
| 04 FC:102 | 588.00 OP |
| 05 FC:104 | 280.00 OP |

A copy of this notice **MUST** be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE